

(31)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David Tuck, et al.  
Filing Date: August 6, 1999  
Serial Number: 09/369,517  
Title: Apparatus and Method for Trading Electric Energy  
Examiner: Edward R. Cosimano  
Art Unit: 3629

Assistant Commissioner of Patents  
Washington, D.C. 20231

**Amendment Pursuant to 37 C.F.R. § 1.312(a)**

In response to the Examiner's request for Applicants' assistance in incorporating the changes to the specification from previously filed amendments in the above-identified application, Applicants offer the following:

*In the Specification:*

**OK to Enter**

On page 2, at line 1, before "Electric Energy is generated ..." insert the following paragraph:

**RELATED APPLICATIONS**

The present invention is a continuation of U.S. Non-Provisional Application Serial No. 08/516,646, now U.S. Patent No. 6,115,698, filed on August 18, 1995, which issued on a continued prosecution application filed under 37 C.F.R. 1.53(d), and is subject to the twenty year patent term provisions of 35 U.S.C. 154(a)(2). The contents of the preceding application are incorporated herein by reference.

**RECEIVED**

AUG 29 2002

**GROUP 3600**

Amendment Pursuant to 37 C.F.R. § 1.312  
Serial No. 09/369,517

Page 1 of 27